## 1 RCNY §101-06

## **CHAPTER 100**

## **Subchapter A Administration**

## §101-06 Special inspectors and special inspection agencies.

- (a) **Definitions.** For the purposes of this chapter, the following terms will have the following meanings:
  - (1) Accreditation. Evaluation of agencies, including testing and calibration laboratories, fabricators and inspection bodies, against internationally acceptable standards to demonstrate their performance capability. Such accreditation is required to be conducted by a nationally recognized accreditation agency accrediting to ASTM E329-14 international standard, the requirements of this rule, and approved by the department.
  - (2) Approved Construction Documents. For the purpose of this rule approved construction documents will include any and all documents that set forth the location and entire nature and extent of the "work" proposed with sufficient clarity and detail to show that the proposed work conforms to the provisions of this code and other applicable laws and rules. Such documents will include but not be limited to shop drawings, specifications, manufacturer's instructions and standards that have been accepted by the design professional of record or such other design professional retained by the owner for this purpose.
  - (3) Approved Inspection Agency. This term has the same definition as established in section 28-101.5 of the Administrative Code
  - (4) Certification. Documented acknowledgment by a nationally recognized organization of a technician's competency to perform certain functions.
  - (5) Commissioner. This term has the same definition as established in section 28-101.5 of the Administrative Code.
  - (6) Department. This term has the same definition as established in section 28-101.5 of the Administrative Code.
  - (7) Floor Area, Gross. This term has the same definition as established in section 1002.1 of the Building Code.
  - (8) *Full Demolition*. This term has the same definition as established in section 3302.1 of the Building Code (Demolition, Full).
  - (9) Job. A construction project that is the subject of one (1) or more department-issued permits.
  - (10) Major Building. This term has the same definition as established in section 3302.1 of the Building Code.
  - (11) Materials. This term has the same definition as established in section 28-101.5 of the Administrative Code.
  - (12) New York City Construction Codes. The New York City Plumbing Code, the New York City Building Code, the New York City Mechanical Code, the New York City Fuel Gas Code, the New York City Energy Code, and Title 28, chapters 1 through 5 of the Administrative Code. Any reference to "this code" or "the code" will be deemed a reference to the New York City Construction Codes as here defined.
  - (13) *Partial Demolition*. This term has the same definition as established in section 3302.1 of the Building Code (Demolition, Partial).
  - (14) *Registered Design Professional*. A New York State licensed and registered architect (RA) or a New York State licensed and registered professional engineer (PE).

- (15) Registered Design Professional Of Record. The registered design professional who prepared or supervised the preparation of applicable construction documents filed with the department.
- (16) *Relevant Experience*. Direct participation and practice related to the underlying construction activities that are the subject of the special inspection where such participation has led to accumulation of knowledge and skill required for the proper execution of such inspection.
- (17) Special Inspection. Inspection of selected materials, equipment, installation, methods of construction, fabrication, erection or placement of components and connections, to ensure compliance with approved construction documents and referenced standards as required by Chapter 17 of the Building Code or elsewhere in the code or its referenced standards.
- (18) Special Inspection Agency. An approved inspection agency employing one (1) or more persons who are special inspectors and that has met all requirements of this rule.
- (19) Special Inspection Category. The specific type(s) of special inspection(s) that a special inspection agency may perform in accordance with Appendix A of this rule.
- (20) Special Inspector. An individual employed by a special inspection agency, who has the required qualifications set forth in this rule to perform or witness particular special inspections required by the code or by the rules of the department, including but not limited to a qualified registered design professional.
- (21) Supervise/Supervision. With respect to a designated Primary Inspector or Inspection Supervisor as indicated in Appendix A, supervision will mean oversight and responsible control by a registered design professional having the necessary qualifications and relevant experience to perform responsibilities associated with the special inspection. Such supervision will include ensuring training and/or education necessary to qualify the special inspector for his or her duties, including continued training and education necessary to keep pace with developing technology.

Field supervision will include responsibility for determining competence of special inspectors for the work they are authorized to inspect and on-site monitoring of the special inspection activities at the job site to assure that the qualified special inspector is performing his or her duties when work requiring inspection is in progress.

With respect to a director of a Special Inspection Agency, supervision will mean oversight and responsible control by a registered design professional who must ensure that qualified inspectors are dispatched for special inspections, that such special inspectors properly document their activities, and that reports and logs are prepared in accordance with section 28-114.2 of the Administrative Code. Such supervision will include ensuring training and/or education necessary to qualify the special inspector for his or her duties, including continued training and education necessary to keep pace with developing technology.

- (22) *Technician*. A person employed by the special inspection agency assigned to perform the inspection or testing of construction activities or materials used in construction or both. See ASTM E 329-14, paragraph 3.1.8.
- (23) Work. The construction activity including techniques, tests, materials and equipment that is subject to special inspection.

#### (b) Duties.

(1) Availability and Compliance. A special inspector and/or special inspection agency shall have responsibilities as set forth in chapter 17 of the New York City Building Code and elsewhere in the codes where special inspections are required. The responsibilities of the special inspector or special inspection agency at a special inspection shall include those tasks and standards set forth in chapter 17 of the code, the reference standards and elsewhere in the code, this rule or any rule of any agency in connection with the work that is the subject of such special inspection.

- (2) Obligation to Avoid Conflict of Interest. A special inspector and/or a special inspection agency must not engage in any activities that may conflict with their objective judgment and integrity, including but not limited to having a financial and/or other interest in the construction, installation, manufacture or maintenance of structures or components that they inspect. It is not, in and of itself, a conflict of interest for a registered design professional of record to perform a special inspection(s) on the project he or she designed.
- (3) Approved Construction Documents. The special inspector and/or special inspection agency shall:
  - (i) Examine all approved construction documents that relate to the work that is the subject of the special inspections.
  - (ii) Confirm that the documents are sufficient to enable the proper performance of the special inspection.
  - (iii) Confirm that the documents are acceptable to the registered design professional of record or another design professional retained by the owner and who prepared the documents for the construction of the job. Acceptance shall be demonstrated in writing by the design professional on the documents.
  - (iv) Confirm that the work that is the subject of the special inspection is in compliance with all relevant approved construction documents and with chapter 17 of the New York City Building Code or elsewhere in the construction codes or their referenced standards.
- (4) Documentation. A special inspection agency shall maintain records of special inspections on a job-by-job basis for at least six (6) years or for such period as the commissioner shall determine, and shall make such records available to the department upon request. Such records shall include field logs, test results, laboratory reports, notes, noted deficiencies and dates of cures of such deficiencies, photographs and such other information as may be appropriate to establish the sufficiency of the special inspection.
  - (i) The supervisor shall review special inspection progress reports and final reports for conformance with the approved plans, specifications and workmanship provisions of chapter 17 of the New York City Building Code or elsewhere in the construction codes or their referenced standards. Such supervision and control shall be evidenced by the supervisor's signature and seal upon any required statements, applications and/or reports.
  - (ii) The principal of the special inspection agency shall file with the department within ten (10) days of satisfactory completion of any special inspection, notice of such completion on the forms and in the manner required by the department.
- (5) Obligation to Cooperate with Inquiries. All special inspectors and/or special inspection agencies shall cooperate with any investigation, including any audit investigation, by the department, or other city or law enforcement agency, into the activities at any job site or fabricating/manufacturing facility for which they have been designated a special inspector or special inspection agency and shall provide prompt, accurate and complete responses to reasonable inquiries by the department and other appropriate agencies about the conduct of such business.
- (6) Limitation of Duties. Activities as a special inspection agency are specifically limited to those special inspections for which the special inspection agency has been registered and for which it has satisfied the requirements set forth in this rule and chapter 17 of the New York City Building Code. No special inspector or special inspection agency shall conduct any special inspection that such inspector or inspection agency is not qualified or registered to perform in accordance with the requirements of this rule and chapter 17 of the New York City Building Code.
- (7) Obligation to Comply with an Order of the Commissioner. All special inspectors and/or special inspection agencies shall comply with an order of the commissioner.
- (8) Equipment and Tools. The special inspection agency shall possess and maintain tools and equipment required to perform the specific special inspection. Such tools and equipment shall be maintained and calibrated periodically in accordance with applicable nationally accepted standards governing that equipment or tool.

- (9) Reporting. The special inspection agency shall report any discrepancies noted during the inspection to the contractor and when applicable to the super- intendment of construction, as well as to the site safety coordinator or site safety manager, for correction. If the discrepancies are not corrected, the discrepancies shall be brought to the attention of the owner, and the registered design professional of record prior to the completion of that phase of the work. The special inspector/special inspection agency shall report conditions noted as hazardous to life, safety or health that are not immediately corrected to the immediate attention of the commissioner.
- (10) Service of process. All special inspection agencies must have an agent for the acceptance of service or maintain a New York City address. A Post Office Box will not be acceptable for such purposes. All agencies must comply with the requirements of this paragraph upon registration.

## (c) Registration of Special Inspection Agencies.

- (1) General. All agencies performing special inspections must be registered with the department as special inspection agencies.
- (2) Form and Manner of Registration. An application for registration must be submitted in a form and manner determined by the commissioner, including electronically, and must provide such information as the commissioner may require. Such registration will be deemed an acknowledgement by the special inspection agency of its obligations hereunder.
  - (i) Registration of Special Inspection Categories. Agencies must register for each special inspection category that the agency intends to perform.
  - (ii) Registration of Special Inspection Classes. Agencies must register as class 1, class 2, or class 3 special inspection agencies for each special inspection category for which the agency registers.
    - (A) Class 1. An agency registered as a Class 1 special inspection agency for a special inspection category will be permitted to perform the work associated with such special inspection category on any project.
    - (B) Class 2. An agency registered as a Class 2 special inspection agency for a special inspection category will be permitted to perform the work associated with such special inspection category on any project, except those involving:
      - 1. The construction of a new major building;
      - 2. The full demolition of a major building;
      - 3. The alteration of a major building including:
        - a. The removal of an entire story or more;
        - b. The partial demolition of twenty thousand (20,000) square feet or more of gross floor area; or
        - c. The partial demolition of twenty (20) percent or more of the gross floor area.
      - 4. The enlargement of a major building by more than ten thousand (10,000) square feet of gross floor area.
    - (C) Class 3. An agency registered as a Class 3 special inspection agency for a special inspection category will be permitted to perform the work associated with this category on the following projects only:
      - 1. The construction, demolition, or alteration of a one-, two-, or three-family dwelling; or
      - 2. The alteration of any building, including partial demolition, of less than ten thousand (10,000) square feet of gross floor area in total.

Exception: The special inspection categories of underpinning, mechanical means and methods of demolition, and the protection of the sides of excavations greater than ten (10) feet in depth must be performed only by special inspection agencies registered as either class 1 or class 2 special inspection agencies for such categories.

(3) Agency Accreditation. For class 1 special inspection agencies only, accreditation is required by the accreditation deadline in order to maintain their class 1 registration status. These agencies will be required to demonstrate accreditation for their intended scope of work by an approved accrediting body accrediting to the standards set forth in this rule and either ASTM E329-14 or ISO 17020-12. Accrediting bodies other than federal agencies are required to operate in accordance with ISO 17011-04 and must be members of an internationally recognized cooperation of laboratory and inspection accreditation bodies subject to a mutual recognition agreement.

Exception: For the following special inspection categories, the accreditation deadline shall be twelve months from the effective date of this rule amendment:

- (i) Mastic and Intumescent Fire-resistant Coatings;
- (ii) High Temperature Hot Water Piping;
- (iii) Post-Installed Anchors (Concrete & Masonry); and
- (iv) Raising and Moving of a Building.
- (4) Qualifications. All special inspection agencies must ensure that the special inspectors employed by the agency meet the qualification requirements set forth in Appendix A of this rule and perform special inspections only within the area of expertise for which such special inspectors are qualified. A Professional Engineer who is listed in Appendix A as requiring qualification in civil, structural, mechanical, electrical, fire protection, geotechnical or such other designation must have had the education, training and experience that has led to an accumulation of knowledge and skill required for the Professional Engineer to hold himself/herself out as a professional practicing in that field.
- (5) Insurance. All special inspection agencies must have the following insurance coverage:
  - (i) Professional liability/errors and omissions insurance policy for the minimum amount of five hundred thousand dollars (\$500,000.00), claim-based, for the term of the registration.

Exception: An agency that is limited to performing fuel-oil storage and fuel-oil piping inspections, sprinkler systems, standpipe systems, emergency and standby power systems, private on-site storm water disposal and detention system installation, and/or individual on-site private sewage disposal system installation special inspections, and whose director is not a registered design professional, will be exempt from obtaining professional liability insurance coverage. This exception applies so long as the director maintains the insurance requirements required for his/her respective license in accordance with Chapter 4 of Title 28 of the Administrative Code.

- (ii) Insurance required by the provisions of the New York State Workers' Compensation and disability benefits laws.
- (6) Agency Structure. A special inspection agency must have one primary and up to four (4) alternative full-time directors who are registered design professionals in charge and all special inspections must be performed under their direct supervision. The directors must not be retained by any other agency that provides special inspection or testing services. The directors must possess relevant experience in the inspection and testing industry and hold management positions in the agency. The agency structure must comply with all relevant New York State and Federal laws. Notwithstanding anything to the contrary set forth in this paragraph, an agency that is limited to conducting fuel-oil storage and fuel-oil piping inspections, sprinkler systems, standpipe systems, emergency and

standby power systems, private on-site storm water disposal and detention system installation, or individual onsite private sewage disposal system installation special inspections may have directors who satisfy the requirements of inspection supervisor for such tests and inspections as set forth in Appendix A of this rule.

- (7) Audits. The operations of special inspectors and special inspection agencies will be subject to audit by the department at any time. Audits may involve the examination of applications for registration as well as the performance and documentation of special inspections. Audits may also be conducted upon receipt of complaints or evidence of falsification, negligence or incompetence.
- (8) Registration Term. The term of an initial registration is three (3) years, beginning on the applicant's birthday following the date of registration, and may be renewed for additional three (3) year periods after such initial registration.
- (9) Registration Fees. Fees will be those set forth in section 101-03 of these rules.
- (10) Renewals. A renewal application must be submitted between sixty (60) and ninety (90) days prior to the expiration date of the registration and must be accompanied by proof that the agency has, during the one (1) year period immediately preceding renewal, maintained all certifications/accreditations and other requirements set forth in this rule and its Appendix.
  - (i) Renewal will be precluded where there has been a finding by the commissioner that any special inspection or test conducted by the special inspector or special inspection agency has not been performed in accordance with the requirements set forth in the code, applicable reference standards or the rules of the department, or where there has been a finding by the commissioner of fraud or misrepresentation on any document or report submitted to the department by the special inspector or special inspection agency.
  - (ii) No special inspector or special inspection agency will perform an inspection or test with an expired or lapsed registration.

### (d) Disciplinary Actions.

- (1) Suspension or revocation of registration and refusal to accept filings. The commissioner may, in accordance with the rules of the department, suspend or revoke a special inspection agency registration, with or without the imposition of penalties, for violation of any provision of the code or the rules of the department, or any other applicable laws or rules. The commissioner may refuse to accept any application or other document submitted pursuant to or in satisfaction of any requirement of this rule or of chapter 17 of the New York City Building Code or any rule or regulation of any agency that bears the signature of any special inspector who has been found, after an opportunity to be heard to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this chapter, the code or any rule or regulation of any agency.
- (2) Stop work and Suspension of Permits. Upon any suspension or revocation of registration pursuant to paragraph (d)(1) of this rule, unless replaced by another registered special inspector and/or special inspection agency within five (5) business days of such suspension or revocation, all jobs on which the special inspector and/or special inspection agency whose registration was suspended or revoked is designated, shall be stopped and the permits shall be suspended until such time as a duly registered special inspector and/or special inspection agency is designated to re-inspect such tests or such inspections performed by the disciplined special inspection agency, or until such permits expire.
- (e) Obligations of Others. Nothing in this rule is intended to alter or diminish any obligation otherwise imposed by law, including but not limited to the obligation of an owner, construction manager, general contractor, contractor, materialman, architect, engineer, site safety manager, land surveyor, superintendent of construction or other party involved in a construction project to engage in sound engineering, design, and construction practices, and to act in a reasonable and responsible manner to maintain a safe construction site.

| <b>(f) Additional Powers of the Commissioner.</b> The commissioner may, upon a determination of good cause, extend the dates and deadlines set forth in this rule. |
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# APPENDIX A

|  |                          |                                     | Qualifications <sup>1,2</sup> |                                |
|--|--------------------------|-------------------------------------|-------------------------------|--------------------------------|
|  |                          |                                     | Supplemental                  | Supplemental                   |
|  |                          |                                     | Inspector                     | Inspector                      |
|  |                          |                                     | (Alternative 1) -             | (Alternative 2) -              |
|  |                          |                                     | under direct                  | under direct                   |
|  |                          | Drimory Increator                   | supervision of                | supervision of                 |
| Consist In an action                                     | 2014 Codo                | Primary Inspector                   |                               |                                |
| Special Inspection                                       | 2014 Code                | or Inspection                       | Inspection                    | Inspection                     |
| Category   | Section                  | Supervisor                          | Supervisor                    | Supervisor                     |
| 1. General Buildin                                       | og Construction          |                                     |                               |                                |
| Wall Panels, Curtain                                     | BC 1704.10               | • RA or PE –                        | Bachelor's                    | Technician                     |
| Walls, and Veneers                                       | 2017010                  | Civil or                            | degree in                     | with 3 years                   |
| wans, and veneers  |                          | Structural                          | Architecture or               | relevant                       |
|  |                          |                                     | Civil                         | experience                     |
|  |                          | Engineering;<br>and                 |                               | experience                     |
|  |                          | ******                              | Engineering or Structural     |                                |
|  |                          | • 1 Year relevant                   |                               |                                |
|  |                          | experience                          | Engineering;                  |                                |
|  |                          |                                     | and                           |                                |
|  |                          |                                     | • 2 years relevant            |                                |
|  |                          |                                     | experience                    |                                |
| Exterior Insulation and                                  | BC 1704.13               | • PE or RA; and                     | N/A                           | <ul> <li>Technician</li> </ul> |
| Finish Systems (EIFS)                                    |                          | <ul> <li>1 year relevant</li> </ul> |                               | with 2 years                   |
|  |                          | experience                          |                               | relevant                       |
|  |                          | _                                   |                               | experience                     |
| Chimne   | BC 1704.26               | - DE - DA 1                         | D1 -12 -                      | The state of the state of      |
| Chimneys   | BC 1704.26               | PE or RA; and                       | Bachelor's                    | Technician                     |
|  |                          | • 1 year relevant                   | degree in                     | with ICC                       |
|  |                          | experience                          | Architecture or               | Certification as               |
|  |                          |                                     | Engineering;                  | a Residential or               |
|  |                          |                                     | and                           | Commercial                     |
|  |                          |                                     | • 2 years relevant            | Mechanical                     |
|  |                          |                                     | experience                    | Inspector; and                 |
|  |                          |                                     |                               | • 5 years                      |
|  |                          |                                     |                               | relevant                       |
|  |                          |                                     |                               | experience                     |
| Flood Zone   | BC 1704.29,              | <ul> <li>PE or RA; and</li> </ul>   | N/A                           | N/A                            |
| Compliance   | BC G105                  | 1 year relevant                     |                               |                                |
|  |                          | experience                          |                               |                                |
|  |                          | 1                                   |                               |                                |
| Luminous Egress Path                                     | BC 1704.30,              | • DE on DA. on d                    | N/A                           | • Tachnician                   |
| _  | BC 1704.30,<br>BC 1024.8 | PE or RA; and                       | 1 V/ A                        | Technician  with relevant      |
| Markings   | DC 1024.8                | • relevant                          |                               | with relevant                  |
|  |                          | experience                          |                               | experience                     |
|  |                          |                                     |                               |                                |
| G1 2.G : 1   |                          | 200                                 | G T 1 : :                     |                                |
| Class 3 Special  |                          | PE or RA; and                       | See Technician                | • See                          |
| Inspections (Small                                       |                          | <ul> <li>relevant</li> </ul>        | requirements for              | Technician                     |
| Projects) <sup>3</sup>                                   |                          | experience                          | relevant inspection           | requirements                   |
|  |                          |                                     |                               | for relevant                   |
|  |                          |                                     |                               | inspection.                    |
|  |                          |                                     |                               |                                |
| 2. Fire Protection Systems & Fire-Resistant Construction |                          |                                     |                               |                                |

| Sprayed Fire-resistant<br>Materials and Mastic<br>and Intumescent Fire-<br>resistant Coatings | BC 1704.11,<br>BC 1704.12 | PE or RA; and 1 year relevant experience   | Bachelor's degree in Architecture or Engineering; and (a or b)     a. 1 year relevant experience; or b. ICC Certification as a Sprayapplied Fireproofing Special Inspector                                 | Technician with ICC     Certification as a Sprayapplied     Fireproofing Special Inspector; and     3 years relevant experience |
|---|---------------------------|--|--|---|
| Smoke Control<br>Systems  | BC 1704.15                | PE or RA; and lyear relevant experience (mechanical and/or fire protection)  | <ul> <li>AABC Test         <ul> <li>and Balance</li> <li>Technician</li> <li>Certification;</li> <li>and</li> </ul> </li> <li>3 years         <ul> <li>relevant</li> <li>experience</li> </ul> </li> </ul> | Technician with NEBB Air Balancer Certification; and     3 years relevant experience  |
| Sprinkler Systems and Standpipe Systems   | BC 1704.23<br>BC 1704.24  | PE – Mechanical Engineering; and  1 year relevant experience OR  NYC Licensed Class A or Class B Master Fire Suppression Piping Contractor License; and Independent of installer | Bachelor's degree in Mechanical Engineering; and     3 years relevant experience   | Technician with NICET Level II Certification in Fire Protection – Inspection and Testing of Water- based Systems                |
| Fire-resistant Penetrations and Joints  | BC 1704.27                | <ul><li>PE or RA; and</li><li>1 year relevant experience</li></ul>   | <ul> <li>Bachelor's degree in Architecture or Engineering; and</li> <li>2 years relevant experience</li> </ul>   | Technician with 3 years relevant experience   |

| Emergency and<br>Standby Power<br>Systems (Generators) | BC 1704.31       | PE –     Mechanical,     Electrical, or     Fire Protection;     and     1 year relevant     experience OR     Licensed     electrician     independent of     installer; and     3 years relevant     experience   | Technician with     5 years relevant     experience  | N/A  |
|--|------------------|---|--|--|
| 3. Plumbing & Mo                                       | ochanical System | ne  |  |  |
| Mechanical Systems                                     | BC 1704.16       | PE or RA; and 1 year relevant experience; or ICC Certification as a Residential or Commercial Mechanical Inspector  | <ul> <li>Bachelor's         Degree in             Architecture, or             Engineering;             and         2 years             relevant             experience;             and         </li> <li>ICC         Certification as a Residential or Commercial Mechanical Inspector     </li> </ul> | Technician with ICC Certification as a Residential or Commercial Mechanical Inspector; and  years relevant experience          |
| Fuel-Oil Storage and<br>Fuel-Oil Piping<br>Systems     | BC 1704.17       | <ul> <li>PE or RA; and</li> <li>1 year relevant experience</li> <li>OR</li> <li>Licensed New York City Class A and B Oil Burner Equipment Installer; and</li> <li>1 year experience in the inspection of heating systems, Fuel burning-Fuel storage systems.</li> </ul> | Bachelors     degree in     Architecture,     or Mechanical,     Marine or Civil     Engineering;     and     3 years     relevant     experience  | Technician with ICC     Certification as a Residential or Commercial Mechanical Inspector; and     5 years relevant experience |

| Private On-Site Storm<br>Water Disposal<br>Systems and Detention<br>Facilities, and<br>Individual On-Site<br>Private Sewage<br>Disposal Systems<br>Installation | BC 1704.21,<br>BC 1704.22                                | <ul> <li>PE or RA; and</li> <li>1 year relevant experience</li> <li>OR</li> <li>NYC Licensed Master Plumber; and</li> <li>3 years relevant experience</li> </ul>        | Bachelor's     Degree in     Architecture,     Civil or     Mechanical     Engineering;     and     3 years relevant     experience   | N/A   |
|---|--|---|---|---|
| Heating Systems   | BC 1704.25   | <ul> <li>PE or RA –         Civil or         Mechanical         Engineering;         and</li> <li>1 year relevant         experience</li> </ul>                         | Bachelor's     Degree in     Architecture, or     Civil or     Mechanical     Engineering;     and     3 years relevant     experience; and     ICC     Certification as     a Residential or     Commercial     Mechanical     Inspector | Technician with ICC     Certification as a Residential or Commercial Mechanical Inspector; and     5 years relevant experience  |
| 4. Structural Mater   | rials & Construc   | ction Operations  |   |   |
| Welding: Steel, High pressure Steam Piping, High temperature Hot Water Piping, High pressure Gas Piping, and Aluminum (2 RCNY 25 – BSA RULE)                    | BC 1704.3.1,<br>BC 1704.18,<br>BC 1704.19,<br>BC 1704.28 | PE or RA; and AWS Certified Welding Inspector (AWS-CWI); OR PE or RA; and ICC Certification as a Structural Welding Inspector (ICC-SWI); and 1 year relevant experience | AWS Certified     Welding     Inspector     (AWS-CWI) OR     ICC     Certification as     a Structural     Welding     Inspector (ICC-SWI)     3 years relevant     experience  | AWS Certified     Associate     Welding     Inspector     (AWS-CAWI)      Note: AWS-CAWI only     permitted to     inspect when     an AWS-CWI     or ICC-SWI is     on site     supervising                |
| Structural Steel – Details  | BC 1704.3.2  | <ul> <li>PE or RA; and</li> <li>1 year relevant experience</li> </ul>   | <ul> <li>Bachelor's degree in engineering or architecture; and</li> <li>2 years relevant experience</li> </ul>  | <ul> <li>Technician         with ICC         Certification as         a Structural         Steel and         Bolting         Inspector; and</li> <li>3 years         relevant         experience</li> </ul> |

| Structural Steel –High-Strength Bolting  Note: Inspection can also be performed by agency approved to inspect welding | BC 1704.3.3                 | PE – Civil/Structural; and ICC Certification as a Structural Steel and Bolting Inspector; and 1 year relevant experience | N/A  | Technician with ICC Certification as a Structural Steel and Bolting Inspector; and  3 years relevant experience   |
|---|-----------------------------|--|--|---|
| Structural Cold-formed<br>Steel   | BC 1704.3.2,<br>BC 1704.3.4 | <ul> <li>PE or RA; and</li> <li>1 year relevant<br/>experience</li> </ul>  | <ul> <li>Bachelor's degree in engineering or architecture; and</li> <li>2 years relevant experience</li> </ul>   | Technician with ICC     Certification as a Structural Steel and Bolting Special Inspector; and     3 years relevant experience  |
| Concrete – Cast-in- place & Precast  Note: Licensed concrete testing lab to perform sampling and testing of cylinders | BC 1704.4                   | PE or RA; and lyear relevant experience  | ACI     Certification as     Concrete     Construction     Special     Inspector (ACI-CCSI) OR     ICC     Certification as     Concrete     Special     Inspector (ICC-CSI) | ACI     Certification as an Associate     Concrete     Construction     Special     Inspector     (ACI-ACCSI)      Note: ACI-ACCSI only permitted to perform inspection under on-site supervision by PE, RA, ACI-CCSI, or ICC-CSI |
| Prestressed Concrete  | BC 1704.4                   | PE – Civil/Structural; and 1 year relevant experience  | <ul> <li>Bachelor's degree in engineering; and</li> <li>ICC Certification as Prestressed Concrete Special Inspector; and</li> <li>1 year relevant experience</li> </ul>      | <ul> <li>ICC         Certification as         Prestressed         Concrete         Special         Inspector; and</li> <li>3 years         relevant         experience</li> </ul>   |

| Masonry  Wood Construction –  | BC 1704.5                         | PE or RA; and lyear relevant experience  | Bachelor's degree in engineering or architecture; and     ICC Certification as a Structural Masonry Special Inspector; and     1 year relevant experience  N/A                   | ICC     Certification as     a Structural     Masonry     Special     Inspector; and     2 years     relevant     experience  |
|---|-----------------------------------|--|--|---|
| Prefabricated Wood I-<br>Joists, Metal-plate-<br>connected Wood<br>Trusses, and High-load<br>Diaphragms |                                   | <ul><li>PE or RA; and</li><li>1 year relevant experience</li></ul>   |  | <ul> <li>ICC         Certification as         a Commercial         or Residential         Building         Inspector; and</li> <li>2 years         relevant         experience</li> </ul> |
| Subsurface Conditions  – Subgrade Inspection  | BC 1704.7.1                       | <ul> <li>PE –         Geotechnical,         Civil, or         Structural; and</li> <li>1 year relevant         experience</li> </ul> | N/A  | N/A   |
| Subsurface Conditions - Fill Placement, Inplace Density   | BC 1704.7.2,<br>BC 1704.7.3       | PE – Geotechnical, Civil, or Structural; and 1 year relevant experience  | Bachelor's degree in Geotechnical, Civil, or Structural engineering; and     1 year relevant experience  | Technician with NICET Level I Geotechnical Certification; and  2 years relevant experience OR Technician with ICC Certification Soils Special Inspector  1 year relevant experience       |
| Subsurface<br>Investigations, Borings<br>and Test Pits  | BC 1704.7.4,<br>BC<br>1704.21.1.1 | PE – Geotechnical, Civil, or Structural; and 1 year relevant experience  | <ul> <li>Bachelor's         degree in         Geotechnical,         Civil, or         Structural         engineering; and</li> <li>1 year relevant         experience</li> </ul> | Technician with NICET Level II Geotechnical Certification; and Must take and pass Soils classification sections of NICET certification  |

| Deep Foundations   | BC 1704.8<br>BC 1808, BC<br>1809, BC<br>1810 | PE – Geotechnical, Civil, or Structural; and 1 year relevant experience | Bachelor's degree in Geotechnical, Civil, or Structural engineering; and     3 years relevant experience  Note: Supplemental Inspector only permitted to inspect when multiple driving rigs are used on the same site and Primary Inspector is on site supervising | Technician with NICET Level III Geotechnical Certification  Note: Supplemental Inspector only permitted to inspect when multiple driving rigs are used on the same site and Primary Inspector is on site supervising |
|--|--|---|--|--|
| Vertical Masonry Foundations   | BC 1704.9                                    | PE – Geotechnical, Civil, or Structural; and 1 year relevant experience | <ul> <li>Bachelor's degree in Geotechnical, Civil or Structural; and</li> <li>3 years relevant experience</li> </ul>   | N/A  |
| Underpinning   | BC<br>1704.20.3,<br>BC 1814                  | PE – Geotechnical, Civil, or Structural; and 1 year relevant experience | <ul> <li>Bachelor's degree in Geotechnical, Civil or Structural; and</li> <li>3 years relevant experience</li> </ul>   | N/A  |
| Structural - Stability - Existing Buildings, Mechanical Demolition, and Raising and Moving of a Building | BC<br>1704.20.2,<br>BC 3304.4.1              | PE – Civil/Structural; and 1 year relevant experience                   | <ul> <li>Bachelor's         Degree in Civil             or Structural             Engineering;             and     </li> <li>3 years relevant             experience</li> </ul>  | N/A  |
| Excavation - Sheeting,<br>Shoring, and Bracing   | BC 1704.19,<br>3304.4.1                      | PE – Geotechnical, Civil, or Structural; and 1 year relevant experience | <ul> <li>Bachelor's degree in Geotechnical, Civil or Structural; and</li> <li>3 years relevant experience</li> </ul>   | Technician     with 5 years     relevant     experience  |
| Seismic Isolation<br>Systems   | BC 1707.8                                    | <ul><li>PE; and</li><li>2 years relevant experience</li></ul>           | <ul> <li>Bachelor's         degree in         Geotechnical,         Civil, or         Structural         engineering; and</li> <li>5 years relevant         experience</li> </ul>  | • N/A  |

| Post-installed Anchors | BC 1704.32 | PE or RA; and     | Anchors in        | Anchors in         |
|------------------------|------------|-------------------|-------------------|--------------------|
|                        |            | • 1 year relevant | Concrete:         | Concrete:          |
|                        |            | experience        | • ACI             | • ACI              |
|                        |            | 1                 | Certification as  | Certification      |
|                        |            |                   | Concrete          | as an              |
|                        |            |                   | Construction      | Associate          |
|                        |            |                   | Special Inspector | Concrete           |
|                        |            |                   | (ACI-CCSI)        | Construction       |
|                        |            |                   |                   | Special            |
|                        |            |                   | • ICC             | Inspector          |
|                        |            |                   | Certification as  | (ACI-ACCSI)        |
|                        |            |                   | Concrete Special  | OR                 |
|                        |            |                   | F (               | te: ACI-ACCSI      |
|                        |            |                   | CSI)              | only permitted to  |
|                        |            |                   |                   | perform inspection |
|                        |            |                   |                   | under on-site      |
|                        |            |                   |                   | supervision by PE, |
|                        |            |                   |                   | RA, ACI-CCSI, or   |
|                        |            |                   |                   | ICC-CSI            |
|                        |            |                   | Anchors in        | Anchors in         |
|                        |            |                   | Masonry:          | Masonry:           |
|                        |            |                   | • Bachelor's      | • ICC              |
|                        |            |                   | degree in         | Certification      |
|                        |            |                   | engineering or    | as a Structural    |
|                        |            |                   | architecture;     | Masonry            |
|                        |            |                   | and               | Special            |
|                        |            |                   | • ICC             | Inspector; and     |
|                        |            |                   | Certification as  | • 2 years          |
|                        |            |                   | a Structural      | relevant           |
|                        |            |                   | Masonry           | experience         |
|                        |            |                   | Special           |                    |
|                        |            |                   | Inspector; and    |                    |
|                        |            |                   | • 1 year relevant |                    |
|                        |            |                   | experience        |                    |

## Notes:

1. Abbreviations in the qualifications descriptions:

AABC – Associated Air Balance Council

ACI – American Concrete Institute

AWS - American Welding Society

ICC – International Code Council

NEBB - National Environmental Balancing Bureau

NICET – National Institute for Certification in Engineering Technologies

PE – A New York State Licensed and Registered Professional Engineer

RA - A New York State Licensed and Registered Architect

- 2. Bachelor's Degrees must be from an accredited institution or equivalent
- 3. Class 3 Special Inspections. An agency registered as a Class 3 special inspection agency for a special inspection category will be permitted to perform the work associated with such special inspection category on the following projects only:
  - a. The construction, demolition, or alteration of a one-, two-, or three-family dwelling; or
  - b. The alteration of any building, including partial demolition, altering less than ten thousand (10,000) square feet of gross floor area in total.

Exception: The special inspection categories of underpinning, mechanical means and methods of demolition, and the protection of the sides of excavations greater than ten (10) feet in depth will be performed only by special inspection agencies registered as either class 1 or class 2 special inspection agencies for such categories.